

## Advanced Title 31 Requirements Workshop for Indian Casinos

This workshop is designed to update you on the most recent Title 31 regulations affecting Indian casinos including "Recognizing Suspicious Activity - Red Flags for Casinos" issued on August 1, 2008 by FinCEN. This workshop will strengthen your AML Program and cover in-depth analysis of risk assessment, audits (daily, internal and external), and include a hands-on workshop on suspicious activity reporting. This workshop requires all attendees to possess a basic knowledge of Title 31 regulations.

### Speakers

EJ Egghart, MBA, CPA  
Egghart Professional Education Services (EPES)

### Seminar Information

Feb 10th, 2010  
\$295 per person

The seminar price includes daily continental breakfast and lunch. The price also includes all seminar materials. Breakfast is served at 8:00 am and classes start at 8:30 am and last until about 4:00 pm.

Tulalip Resort Casino  
10200 Quil Ceda Blvd.  
Tulalip, Washington

Please call Tulalip Resort Casino (866) 716-7162 for hotel reservations.

### Who Should Attend:

- Tribal Gaming Commissioners
- Casino Operations Managers
- Compliance Officers of Indian Casinos
- Controllers/Accounting Staff
- Tribal Council
- Internal Auditors

\* This course is limited to employees of Indian Gaming operations/Tribes and industry regulators. Proof of employment and/or position verification may be required for attendance.

### Topics Include:

- Title 31 Compliance (Update)
  - Fundamentals of the regulation
  - Recent updates (Revised CTR Form effective September 1, 2008, FAQs published by FinCEN November 2007)
  - Designing an effective and efficient Title 31 Compliance Program using the Risk-Based Approach
  - Monitoring compliance using the Risk-Based Approach
  - Daily audit approach for after-the-fact aggregation
  - Common errors and current enforcement actions
- Suspicious Activity Reporting Requirements - (FinCEN Guidelines)
  - Recognizing red flags
  - How to develop and implement an effective Suspicious Activity Reporting Program.
  - Example of a Suspicious Activity Reporting Program from another Jurisdiction.
  - Common errors and current enforcement actions
  - Questions and Answers
- Regulatory Environment Update and Forecast
- Hands-on Workshop

Speakers with extensive experience in the gaming industry will share their knowledge and experiences with you.

### Four easy ways to register:

- By Phone - Call Meagan at (775) 827-5999
- By Email - [ej@egghartseminars.com](mailto:ej@egghartseminars.com)
- By Fax - (949) 706-7166
- By Mail

Name: \_\_\_\_\_  
Title Position: \_\_\_\_\_  
Tribe/Casino: \_\_\_\_\_  
Address: \_\_\_\_\_  
Phone: \_\_\_\_\_  
FAX: \_\_\_\_\_  
Email: \_\_\_\_\_

Please include a check payable to:  
Egghart  
5575 Kietzke Lane, Bldg. A  
Reno, NV89511

Cancellations made less than one week prior to the seminar will be subject to a \$100 fee. No cancellation, no show registrants will be responsible for the full seminar cost.